This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

TAKAHASHI et al.

Serial No. 10/616,954

Filed: July 11, 2003

Atty. Ref.: 1767-

Group: 2613

Examiner: TBA

For: ENCODING RATE CONTROLLING APPARATUS AND INFORMATION

ENCODING APPARATUS

December 10, 2003 RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DEC 1 1 2003

Technology Center 2600

Sir:

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement

under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper s a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose. 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2). c. Attached is our Check No. in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p). 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our Check No. in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(i). a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1). b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable

inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

TAKAHASHI et al. Serial No. 10/616,954

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 1767-117.

Respectfully submitted,

NIXON & VANDERHYE P.C.

Michael (B)

Michael J. Shea Reg. No. 34,725

MJS:mjs

1100 North Glebe Road, 8th Floor

Arlington, VA 22201-4714 Telephone: (703) 816-4000 Facsimile: (703) 816-4100

INFORMATION DISCLOSURE	ATTY, DOCKET NO.	SERIAL NO.	
CITATION PE	1767-117	10/616,954	
	APPLICANT		
DEC: 1 0 2003	TAKAHASHI et al.		
(Use several shorts if necessary)	FILING DATE	GROUP	
THADEN ART	July 11, 2003	2613	

		U.S	PATENT D	OCUMENTS				
*EXAMINER	DOOLINGHT NUMBER	DATE		NAME	01.400	CLIDOL ACC	FILING	DATE
INITIAL	DOCUMENT NUMBER 6,650,787	11/2003	т,	NAME Ikahashi <i>et al</i> .	382	SUBCLASS 251	IF APPRO	JPKIATE
	0,030,787	11/2003	16	ikaliasili ei ui.	362	231		
				W				
						ļ. <u></u>		
					DEC	CEIVE		
					DEC	1 1 200	3	
						; 1 1 200°		-
					T 3	ogy Cente	2600	
					l ecnnoi	pgy oeme	2000	
		-		•				
	l							
		FOREI	GN PATENT	DOCUMENTS			TD 41101	ATION
	DOCUMENT	DATE		COUNTRY	CLASS	SUBCLASS	TRANS! YES	NO NO
	DOCUMENT	DATE		COONTIN	CLASS	JOBOLAGO	123	110
-						_		
	OTHER DOCL	JMENTS (incl	uding Autho	or, Title, Date, Pertine	nt pages, et	tc.)		
						-		
		-						
	•							
*Examiner				Date Considered				

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.